

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant: **Matthew H.T. Bui, et al.**Application No.: **10/511,465** Filed: **February 7, 2005**Entitled: **METHODS OF RENAL CELL CARCINOMA PROGNOSIS AND TREATMENT  
SELECTION WITH CARBONIC ANHYDRASE IX****The Regents of the University , a University**  
**of California**

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1.  the assignee of the entire right, title, and interest; or
2.  an assignee of an undivided part interest

in the patent application identified above by virtue of either:

A. [ X ] An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_, Frame \_\_\_, or for which a copy thereof is attached.

OR

B. [ ] A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_, Frame \_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_, Frame \_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_, Frame \_\_\_, or for which a copy thereof is attached.

[ ] Additional documents in the chain of title are listed on a supplemental sheet

[X ] Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

4/6/05

Date

Signature

Lorielle de Lecea

Typed or printed name

UCLA Intellectual Property Manager

Title

**NOT FOR RECORDATION**

**ASSIGNMENT**

U.C. Case No. 2002-463-3  
Attorney Docket No. 306J-000220US

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Matthew H.T. Bui      2. David Seligson      3. Arie S. Beldegrun

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

TITLE: METHODS OF RENAL CELL CARCINOMA PROGNOSIS AND TREATMENT SELECTION WITH CARBONIC ANHYDRASE IX

and which is found in

- (a)  U.S. provisional application filed herewith and listing the above named persons as inventors
- (b)  U.S. patent application filed herewith and listing the above named persons as inventors
- (c)  U.S. application serial no. 10/511,465, filed on February 7, 2005
- (d)  U.S. Patent No.: , issued

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

11 day of April, 2005

       day of                 , 2005

       day of                 , 2005

Signature of Inventor(s)

Matthew Bui

Matthew H.T. Bui

\_\_\_\_\_  
David Seligson

\_\_\_\_\_  
Arie S. Beldegrun

NOT FOR RECORDATION

## ASSIGNMENT

U.C. Case No. 2002-463-3  
Attorney Docket No. 306J-000220US

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S)

1. Matthew H.T. Bui      2. David Seligson      3. Arie S. Belldegrun

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

## TITLE: METHODS OF RENAL CELL CARCINOMA PROGNOSIS AND TREATMENT SELECTION WITH CARBONIC ANHYDRASE IX

and which is found in

(a) \_\_\_\_\_ U.S. provisional application filed herewith and listing the above named persons as inventors

(b) \_\_\_\_\_ U.S. patent application filed herewith and listing the above named persons as inventors

(c)  U.S. application serial no. 10/511,465, filed on February 7, 2005

(d) \_\_\_\_\_ U.S. Patent No.: issued

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property.

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment:

**ASSIGNOR(S)** further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE. The Regents of the University of California.

executed this

**Signature of Inventor(s)**

day of 2005

11 day of April, 2005

day of 2005

Matthew H.T. Bui

David Seltzer

Arie S. Belldegrun

NOT FOR RECORDATION

ASSIGNMENT

U.C. Case No. 2002-463-3  
Attorney Docket No. 306J-000220US

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Matthew H.T. Bui      2. David Seligson      3. Arie S. Belldegrun

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

TITLE: METHODS OF RENAL CELL CARCINOMA PROGNOSIS AND TREATMENT SELECTION WITH CARBONIC ANHYDRASE IX

and which is found in

- (a)  U.S. provisional application filed herewith and listing the above named persons as inventors
- (b)  U.S. patent application filed herewith and listing the above named persons as inventors
- (c)  U.S. application serial no. 10/511,465, filed on February 7, 2005
- (d)  U.S. Patent No.: , issued

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

       day of                         , 2005

Matthew H.T. Bui

       day of                         , 2005

David Seligson

19 day of April, 2005

Arie S. Belldegrun